

IDS 10/25/2006

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 3

Application Number

10/726225

Filing Date

December 2, 2003

First Named Inventor

Henson, Gordon D.

Confirmation Number

3735

Attorney Case Number

59349US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
ZH	A1	US- 3,902,059	08-26-1975	McNamara, Jr.	
↑	A2	US- 4,544,259	10-01-1985	Kanaoka et al.	
	A3	US- 4,755,918	07-05-1988	Pristash et al.	
	A4	US- 4,897,771	01-30-1990	Parker	
	A5	US- 4,964,025	10-16-1990	Smith	
	A6	US- 5,317,484	05-31-1994	Davenport et al.	
	A7	US- 5,534,718	07-09-1996	Chang	
	A8	US- 5,580,471	12-03-1996	Fukumoto et al.	
	A9	US- 5,629,996	05-13-1997	Rizkin et al.	
	A10	US- 5,661,839	08-26-1997	Whitehead	
	A11	US- 5,693,043	12-02-1997	Kittrell et al.	
	A12	US- 5,709,463	01-20-1998	Igram	
	A13	US- 5,727,108	03-10-1998	Hed	
	A14	US- 5,748,816	05-05-1998	Jaksic et al.	
	A15	US- 5,816,694	10-06-1998	Ideker et al.	
	A16	US- 5,886,313	03-23-1999	Krause et al.	
	A17	US- 5,959,316	09-28-1999	Lowery	
	A18	US- 6,104,446	08-15-2000	Blankenbecler et al.	
	A19	US- 6,155,699	12-05-2000	Miller et al.	
	A20	US- 6,200,134 B1	03-13-2001	Kovac et al.	
	A21	US- 6,343,872 B1	02-05-2002	Cerone et al.	
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	A25	US- 6,521,915 B2	02-18-2003	Odaki et al.	
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	A27	US- 6,809,342 B2	10-26-2004	Harada	
ZH	A28	US- 6,874,910 B2	04-05-2005	Sugimoto et al.	

*Examiner: /Zia Hashmi/ (01/09/2007)

Date Considered:

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 3	Application Number	10/726225
	Filing Date	December 2, 2003
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	Attorney Case Number	59349US002

U.S. Patent Documents					
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		Doc. Number-(Kind Code if Known)			
ZH	A29	US- 6,901,090 B1	05-31-2005	Ohtsuki	
↑	A30	US- 6,921,920 B2	07-26-2005	Kazakevich	
	A31	US- 6,943,380 B2	09-13-2005	Ota et al.	
	A32	US- 6,949,772 B2	09-27-2005	Shimizu et al.	
	A33	US- 6,960,035 B2	11-01-2005	Okazaki et al.	
	A34	US- 2002/0018199 A1	02-14-2002	Blumenfeld et al.	
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	A41	US- 2003/0214571 A1	11-20-2003	Ishikawa et al.	
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ZH	A43	US- 2005/0177208 A1	08-11-2005	Irwin	

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Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
ZH	B1	DE	100 25 563 A1	12-06-2001			English Abstract
↑	B2	EP	0 181 193 A	05-14-1986			English Abstract
	B3	EP	0 338 641 A1	10-25-1989			English Abstract
	B4	EP	0 588 040 A2	03-23-1994			English Abstract
	B5	EP	0 889 495 A1	01-07-1999			English Abstract
	B6	EP	1 241 869 A	09-18-2002			English Abstract
	B7	FR	2 662 896	12-06-1991			X
ZH	B8	JP	8-8463	01-12-1996			English Abstract

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		Ctry. Code	Number-KindCode (if known)				
ZH	B9	JP	10-256694	09-25-1998			English Abstract
	B10	JP	2-142695	05-31-1990			English Abstract
	B11	JP	2-189803	07-25-1990			English Abstract
	B12	JP	11-284233	10-15-1999			English Abstract
	B13	JP	2002-065603 A	03-05-2002			English Abstract
	B14	WO	95/20811	08-03-1995			English Abstract
	B15	WO	99/41785	08-19-1999			English Abstract
	B16	WO	01/20398 A	03-22-2001			English Abstract
	B17	WO	03/023857 A2	03-20-2003			English Abstract
	B18	WO	04/004017 A2	01-08-2004			English Abstract
ZH	B19	WO	05/062382 A2	07-07-2005			

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Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
ZH	C1	ŽUKAUSKAS et al, Introduction to Solid-State Lighting, John Wiley & Sons, Inc., New York, 2002, pp. 166-167.	
ZH	C2	"Solid-State Laser/Fiber Optic Expose Machine," IBM Technical Disclosure Bulletin, IBM Corp. Vol. 30, No. 10, New York, March 1, 1988, pp. 249-250.	
	C3		

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Substitute for form 1449A/PTO (modified)		Application Number	10/726225
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) JUN 17 2005 OIPE Page 1 of 1		Filing Date	December 2, 2003
		First Named Inventor	Henson, Gordon D.
		Art Unit	282T 2881
		Examiner Name	Unknown Zia Hashmi
		Attorney Case Number	59349US002

U.S. Patent Documents					
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ZH	A1	US- 6,402,347 B1	06-11-2002	Maas et al.	
ZH	A2	US- 6,406,172 B1	06-18-2002	Harbers et al.	
ZH	A3	US- 2004/0008952 A1	01-15-2004	Kragl	
ZH	A4	US- 2004/0262053 A1	12-30-2004	Ludewig et al.	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

NOTE:
The following IDS's
are included for
scanning purposes.

Exam. Init.*	Cite No.	Foreign Patent Document		Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)		
ZH	B1	WO	02/054129 A1		Abstract only
	B2				
	B3				
	B4				
	B5				
	B6				
	B7				

OTHER DOCUMENTS			
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	C1		
	C2		
	C3		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Page 1 of 1

Application Number

10/726225

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December 2, 2003

First Named Inventor

Henson, Gordon D.

Art Unit

2821 2881

Examiner Name

Unknown Zia Hashmi

Attorney Case Number

59349US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
ZH	A1	US- 2003/0042493 A1	03-06-2003	Kazakevich	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

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		Ctry. Code	Number-KindCode (if known)				
ZH	B1	EP	0 249 934	12-23-1987			
ZH	B2	EP	0 490 292 A2	06-17-1992			
	B3						
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	Filing Date	December 2, 2003
	First Named Inventor	Henson, Gordon D.
	Art Unit	2821-2881
	Examiner Name	Unknown Zia Hashmi
	Attorney Case Number	59349US002

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		Doc. Number-(Kind Code if Known)				
ZH	A1	US-	6,692,250 B1	02-17-2004	Decaudin et al.	
	A2	US-				
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				

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Page 1 of 1

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First Named Inventor

Henson, Gordon D.

Art Unit

2824 2681

Examiner Name

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Attorney Case Number

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TABLE 1						
Exam. Init.*	Cite No.	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
ZH	A1	US-	US6290382	9/18/01	Bourn et al.	
ZH	A1	US-	US6236382	5/22/01	Kawakami et al.	
ZH	A2	US-	US6395564	5/28/02	Huang	
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				

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		Ctry. Code	Number-KindCode (if known)				
ZH	B1	EP	0303741	2/22/89			
ZH	B2	EP	1108949	6/20/01			
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	B6						
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Page 1 of 2

Application Number	10/726225
Filing Date	December 2, 2003
First Named Inventor	Henson, Gordon D.
Art Unit	2881
Examiner Name	202F Zia Hashmi
Attorney Case Number	59349US002

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ZH	A1	US- 5,227,008	07/13/1993	Klun et al.	
	A2	US- 5,299,222	03/29/1994	Shannon et al.	
	A3	US- 5,574,817	11/12/1996	Henson et al.	
	A4	US- 5,611,017	03/11/1997	Lee et al.	
	A5	US- 5,808,794	09/15/1998	Weber et al.	
	A6	US- 5,882,774	03/16/1999	Jonza et al.	
	A7	US- 5,967,653	10/19/1999	Miller et al.	
	A8	US- 6,224,216 B1	05/01/2001	Parker et al.	
	A9	US- 2001/0001207	05/17/2001	Shimizu et al.	
	A10	US- 2001/0010449	08/02/2001	Chiu et al.	
	A11	US- 2002/0024055 A1	02/28/2002	Uemura et al.	
	A12	US- 2002/0113244	08/22/2002	Barnett et al.	
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	A14	US- 2003/0001488	01/02/2003	Robert C. Sundahl	
	A15	US- 2003/0052594	03/20/2003	Matsui et al.	
	A16	US- 2003/0057421	03/27/2003	Tzer-Perng Chen	
	A17	US- 6,560,038 B1	05/06/2003	Parkyn, Jr. et al.	
	A18	US- 6,603,258 B1	08/05/2003	Mueller-Mach et al.	
	A19	US- 2003/0142500 A1	07/31/2003	Bachl et al.	
	A20	US- 2003/0178627	09/25/2003	Marchl et al.	
ZH	A21	US- 6,692,250	02/17/04	Decaudin et al.	

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		Ctry. Code	Number-KindCode (if known)				
ZH	B1	DE	201 11 814 U1	12/20/2001			Abstract
ZH	B2	EP	1 260 196 A2	11/27/2002			Abstract
ZH	B3	JP	07240536 A	09/12/1995			Abstract

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
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ZH ↑	C1	Hsu, J.T. et al., "Design of multi-chips LED module for lighting application", <i>Solid State Lighting II, Proceedings of SPIE</i> (2002), Vol. 4776, pp. 26-33.
	C2	Serial No. 10/317,734 filed 12/02/2002 as our Docket No. 57755US002 having Title - Optical fiber or Waveguide Lens
	C3	Serial No. 60/430,230 filed 12/02/2002 as our Docket No. 58130US002 having Title - Illumination System Using a Plurality of Remote Light Sources
	C4	Serial No. 60/443,232 filed 01/27/2003 as our Docket No. 58390US002 having Title - Methods of Making LED-excited Phosphor- based Light Sources
	C5	Serial No. 60/443,235 filed 01/27/2003 as our Docket No. 58388US002 having Title - LED-excited Phosphor-based Light Sources with Front Illumination
	C6	Serial No. 60/443,274 filed 01/27/2003 as our Docket No. 58389US002 having Title - LED-excited Phosphor-based Light Sources
	C7	Serial No. 10/670,630 filed 09/25/2003 as our Docket No. 58385US002 having Title - Lensed Optical Fiber and Method for Making the Same
	C8	Serial No. 10/726,222 filed 12/02/2003 as our Docket No. 58130US004 having Title - Illumination System Using A Plurality Of Light Sources
	C9	Serial No. 10/726,997 filed 12/02/2003 as our Docket No. 58389US004 having Title - Phosphor Based Light Sources Having a Polymeric Long Pass Reflector
	C10	Serial No. 10/726,244 filed 12/02/2003 as our Docket No. 59121US002 having Title - Reflective Light Coupler
	C11	Serial No. 10/727,220 filed 12/02/2003 as our Docket No. 59333US002 having Title - Illumination Assembly
	C12	Serial No. 10/726,248 filed 12/02/2003 as our Docket No. 59376US002 having Title - Multiple LED Source and Method for Assembling Same
	C13	Serial No. 10/727,072 filed 12/02/2003 as our Docket No. 59416US002 having Title - Phosphor Based Light Sources Having a Non-Planar Long Pass Reflector
	C14	Serial No. 10/726,257 filed 12/02/2003 as our Docket No. 59432US002 having Title - LED Curing Apparatus and Method
ZH	C15	Serial No. 10/727,023 filed 12/02/2003 as our Docket No. 58390US004 having Title - Methods of Making Phosphor Based Light Sources Having an Interference Reflector

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